

Cascade C—H Annulation of Aldoximes with Alkynes Using O₂ as the Sole Oxidant: One-Pot Access to Multisubstituted Protoberberine Skeletons

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Supporting Information

ABSTRACT: A cascade double C–H annulation of aldoximes with alkynes to produce benz[a]acridizinium salts is developed by using a simple catalytic system of $[Cp*Rh(OAc)_2]_2$ in the presence of $Zn(OTf)_2$ with oxygen as the sole oxidant. In addition, the challenging C–H annulation of aldoximes with alkynes, especially arylalkynes, to synthesize 1H-isoquinolines is also achieved under slightly modified conditions. This protocol provides an efficient one-pot access to multisubstituted dehydroberberinium skeletons from simple starting materials,

which can be easily transformed into berberinium and tetrahydroberberine skeletons by controlled hydrogenation.

Protoberberine alkaloid is one of the largest families of all known alkaloids that exhibits extensive biological activities and has therapeutic applications. The berberinium skeleton II is the basic skeleton of protoberberine alkaloids, and two structurally related skeletons are dehydroberberinium (I, also called benz[a]acridizinium salt) and tetrahydroberberine III. From the viewpoint of artifact synthesis, both II and III can be accessed from I through stepwise hydrogenation (Scheme 1).

Scheme 1. Three Core Skeletons of Protoberberine Alkaloids

On the other hand, I belongs to a class of azonia aromatic heterocycles, and is attractive core skeleton due to its potential as fluoroscent probes and DNA-binding agents. Although the synthetic methodology of I by the quaternization of isoquinoline-1-carbaldehydes with benzyl bromides followed by the intramolecular cyclization has been established as early as in 1958, the unavailability and lability of the starting materials make it unpractical and restricted to a very narrow substrate scope.

In recent years, transition-metal (TM)-catalyzed C–H activation and annulation reactions have emerged as a powerful strategy for the synthesis of N-heterocyclic compounds. The avoidance of preparation of preactivated substrates and atomand step-economy are especially advantageous for the

construction of multifused heterocycles in natural products, pharmaceuticals, and functional materials. The retrosynthetic analysis of the benzo[a]acridizinium skeleton suggests that it could be accessed by a C-H annulation of 3-phenylisoquinoline with an alkyne, and 3-phenylisoquinoline could be prepared by a C-H annulation of an N-derivative of benzaldehyde with an alkyne (Scheme 2).

Scheme 2. Retrosynthetic Analysis of the Benz[a]acridizinium Skeleton

$$R^1$$

one-pot cascade reaction

 R^1
 R^2
 R^2
 R^1
 R^2
 R^3
 R^4
 $R^$

Previously, the TM-catalyzed C—H annulations have been established to synthesize pyridoisoquinolinium and quinolizinium salts from 2-phenylpyridines and 2-vinylpyridines⁷ as well as isoquinolines from amines, ⁸ imines, ⁹ and oximes, ¹⁰ etc. Therefore, it is tempting to envisage a cascade C—H annulation of a certain *N*-derivative of benzaldehyde with alkynes to directly construct benz[a]acridizinium salts in one pot, which would be an extremely concise synthetic step for this tetracyclic skeleton.

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However, this cascade strategy is still challenging in several aspects. First, the C-H annulation of aldoximes with alkynes to access 1*H*-isoquinolines is rare. ^{10c,11} although the annulation of ketoximes is well-established. Li et al. disclosed a sluggish annulation between benzaldehyde oxime and oct-4-yne yielding 3,4-dipropylisoquinoline in only 39% yield. ^{10c} A similar reaction in a sequential C-H bond activation reaction was reported by Cheng's group. 11 Second, the first annulation of this cascade reaction needs the alkynes having at least one arvl substituent to regioselectively assemble 3-arylisoquinolines, which is a structural prerequisite for the second annulation. Nevertheless, the known annulation of either aldoximes or benzaldimines is usually viable only for aliphatic alkynes. 9b,10c,11 Third, the subtle adjustment of the reaction conditions for double annulation is necessary. Urriolabeitia et al. previously reported a sequential annulation/alkenylation of amines with diphenylacetylene. 8c,d Finally, the controlled stepwise annulations using different alkynes are also needed to enrich the substituent diversity. Due to our continuous interest in cationic organic functional materials, we herein report an effective synthesis of benz[a]acridizinium salts by a Rh-catalyzed cascade annulation of aldoximes with alkynes using oxygen as the sole oxidant. 12

We commenced our study by treating (E)-2-methoxybenzaldehyde O-methyl oxime $\mathbf{1a}$ (0.25 mmol) with diphenylacetylene $\mathbf{2a}$ (0.50 mmol) in the presence of $[(Cp*RhCl_2)_2]$ (2.5 mol %) and $Cu(OAc)_2$ · H_2O (2.0 equiv) in DCE at 100 °C in a nitrogen atmosphere for 24 h. Neither isoquinoline $\mathbf{3aa}$ nor the desired benz[a] acridizinium salt $\mathbf{4aa}$ was observed (Table 1, entry 1). Changing the additive to $Cu(OTf)_2$ afforded $\mathbf{3aa}$ and $\mathbf{4aa}$ in 18% and 37% yields, respectively (entry 2), which were

Table 1. Optimization of the Reaction Conditions a,b

entry	catalyst	additive	3aa (%)	4aa (%)
1 ^c	[(Cp*RhCl2)2]	$Cu(OAc)_2 \cdot H_2O$	nd	nd
2 ^c	[(Cp*RhCl2)2]	$Cu(OTf)_2$	18	37
3	[(Cp*RhCl2)2]	$Zn(OTf)_2$	nd	57
4	[(Cp*RhCl2)2]	LiOTf	nd	trace
5	$[Cp*Rh(OAc)_2]_2$	$Zn(OTf)_2$	nd	90
6	$\left[Cp*Rh(OAc)_2\right]_2$	Zn(OTf) ₂ /HOAc	nd	95
7^d	$[Cp*Rh(OAc)_2]_2$	$Zn(OTf)_2/HOAc$	nd	82
8 ^e	$[Cp*Rh(OAc)_2]_2$	$Zn(OTf)_2/HOAc$	6	7
9 ^f	$[Cp*Rh(OAc)_2]_2$	$Zn(OTf)_2/HOAc$	9	nd
10	$[(p\text{-cymene})RuCl_2]_2$	$Zn(OTf)_2/HOAc$	trace	12
11	$[Cp*Co(CO)I_2]$	$Zn(OTf)_2/HOAc$	nd	nd
$12^{e_{i}f}$	$[Cp*Rh(OAc)_2]_2$	Cu(OTf) ₂ /HOAc	14	30
13 ^e f	$[Cp*Rh(OAc)_2]_2$	Cu(OTf) ₂ /1- AdCOOH	69	25
14 ^{e,f}	$\frac{[(Cp*RhCl_2)_2]}{AgOTf}$	Cu(OTf) ₂ /1- AdCOOH	77	13

"Reaction conditions: (E)-2-methoxybenzaldehyde O-methyl oxime 1a (0.25 mmol), 1,2-diphenylethyne 2a (2.0 equiv), catalyst (2.5 mol %), M(II) (OTf)₂ (0.5 equiv) or M(I)OTf (1.0 equiv), acid (1.0 equiv), and DCE (1.0 mL) at 100 °C under an O₂ atmosphere for 24 h. nd = not detected. ^bIsolated yield. ^cAdditive (2.0 equiv) under N₂ atmosphere. ^dAt 60 °C. ^eAt room temperature. ^fUnder a N₂ atmosphere.

characterized by the ¹H, ¹³C, and ¹⁹F NMR spectra as well as MS analysis. This result suggests that the OAc anion probably could not serve as the counteranion for the construction of the benz[a]acridizinium product.7b To our delight, the use of oxygen in combination with Zn(OTf)2 exclusively delivered 4aa in 57% yield, but 4aa was not detected when LiOTf was used (entries 3 and 4). Zn(OTf)2 may not only provide the OTf anion as the counteranion but also activate 2a via coordination. 13 Replacing [(Cp*RhCl₂)₂] with [Cp*Rh- $(OAc)_2$ gave 4aa in 90% yield (entry 5). Considering the fact that acetic acid could enhance the oxidative ability of the molecular oxygen, 14 1 equiv of acetic acid was added and resulted in almost quantitative yield (entry 6). ¹⁵ Even when the reaction temperature was lowered to 60 °C, **4aa** could be attained in 82% yield without any 3aa detected (entry 7). When the reaction was conducted at room temperature, both 3aa and 4aa were obtained in very low yields (entry 8). These results suggested that the second annulation is much faster than the first one at higher temperature. It should be noted that in a nitrogen atmosphere most of 1a was recovered and 3aa was obtained in only 9% yield together with a trace amount of 4aa (entry 9), showing the inferior activity of the aldoxime. The ruthenium catalyst^{7c} gave very low yield, and the cobalt catalyst^{7gh} showed no activity at all (entries 10 and 11). To achieve the controlled synthesis of 3aa, the conditions were further tuned at room temperature. By switching back to Cu(OTf)₂, the yields of both 3aa and 4aa increased, but 4aa was still predominant (entry 12). When 1-AdCOOH was used in lieu of HOAc, 3aa was obtained as the main product in 69% yield (entry 13), probably because the bulky Ad group sterically hinders 2a approaching the rhodium center in the second catalytic cycle and therefore suppresses the second annulation. Finally, the [(Cp*RhCl₂)₂]/AgOTf catalyst system gave the best yield of 77% for 3aa, but 4aa was still inevitably formed in 13% yield (entry 14). It needs to be pointed out that other *N*derivatives including oxime, O-acetyl oxime, and N-tertbutylimine are also workable under the optimal conditions to directly afford 4aa but in lower yields (see the Supporting Information).

With the optimized reaction conditions in hand, we investigated the substrate scope of this cascade reaction (Table 2). For aldoximes, various functional groups on the phenyl ring were tolerable, and the corresponding products were isolated in moderate to excellent yields. Notably, the aldoxime 1g with a free hydroxyl group could be successfully annulated to give 4ga in 71% yield. The lower yields of 4ba and 4ha showed the preference for electron-rich aldoximes, indicating that an electrophilic rhodation might be involved in the first catalytic cycle. In the case of meta-substituted substrate 1c, 4ca was obtained as 1.3:1 mixed regioisomers in a 56% combined yield. However, 3,4-dimethoxy-substituted aldoxime 1k afforded 4ka as a single regioisomer in 92% yield. The heterocyclic aldoxime 1l provided 4la in 81% yield.

For alkynes, diarylalkynes containing both electron-rich and -deficient functional groups reacted smoothly to give the expected products in good yields. However, 1,2-di-*o*-tolylethyne only gave the corresponding isoquinoline in 34% yield without any salt formed. The product 4ai was successfully obtained in 73% yield with a high regioselectivity of 20:1 in one pot, as determined by the NOESY analysis. For 1,2-di(thiophene-2-yl)ethyne, the [(Cp*RhCl₂)₂]/AgOTf catalyst system was used to deliver 4ah in a moderate yield of 56%.

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Table 2. Annulation of Aldoximes 1 with Alkynes $2^{a,b}$

"Reaction conditions: 1 (0.25 mmol), 2 (2.0 equiv), $[Cp*Rh(OAc)_2]_2$ (2.5 mol %), $Zn(OTf)_2$ (50 mol %), HOAc (1.0 equiv), DCE (1.0 mL) under an O_2 atmosphere at 100 °C for 24 h. ^bIsolated yield. ^cCu(OTf)₂ (50 mol %) instead of $Zn(OTf)_2$ was used at 60 °C. ^d[($Cp*RhCl_2$)₂] (2.5 mol %) and AgOTf (10 mol %) instead of $[Cp*Rh(OAc)_2]_2$ were used.

By applying the conditions in entry 14 of Table 1, 3aa was isolated and subjected to further annulation under the optimal conditions to provide diversely substituted benz[a]acridizinium salts 5 (Table 3). Both diaryl- and dialkylalkynes delivered the desired salts in good yields. 1,2-Di-o-tolylethyne was compatible, giving 5a in 45% yield. For unsymmetrical alkynes, the

Table 3. Annulation of Isoquinoline 3aa with Alkynes $2^{a,b}$

"Reaction conditions: 3aa (0.1 mmol), 2 (1.0 equiv), $[Cp*Rh(OAc)_2]_2$ (2.5 mol %), $Zn(OTf)_2$ (50 mol %), HOAc (1.0 equiv), DCE (0.4 mL) under an O_2 atmosphere at 100 °C for 24 h. ^bIsolated yield.

regioselective annulations mainly gave the products with the phenyl group installed proximal to the nitrogen atom, and the selectivities ranged from 5:1 to 15:2 as determined by the NOESY analysis. It needs to point out that 4aa was only isolated in a low yield of 48% under the optimal conditions for the annulation of 2-phenylquinoline (see the Supporting Information), bindicating that the present conditions are specific and highly efficient for the synthesis of benz[a]-acridizinium salts.

With benz[a]acridizinium salts (dehydroberberiniums) in hand, the corresponding berberinium and tertrahydroberberine skeletons could be easily accessed by hydrogenation. Sh,c As exemplified here, the hydrogenation of 4aa was conducted at room temperature utilizing 10 mol % of Adams catalyst under 1 and 10 atmospheric pressure, affording the corresponding 4aa-2H¹⁸ and 4aa-6H¹⁹ in 90% and 95% yields, respectively (Scheme 3).

Scheme 3. Access to Berberinium and Tetrahydroberberine Skeletons by the Hydrogenation of Dehydroberberinium

On the basis of the experimental results²⁰ and the known Rhcatalyzed C–H annulation processes,^{7b,10c} a plausible catalytic pathway is proposed in Scheme 4. Aldoxime 1a first coordinates

Scheme 4. Plausible Mechanism

with $Cp*Rh(OAc)_2$ through an oxime-assisted reversible electrophilic C–H activation process to form a five-membered rhodacycle **A**. The alkyne insertion provides the intermediate **B**, which undergoes a redox neutral process to release isoquinoline **3aa** and the [Rh(III)] species. The subsequent coordination of **3aa** to the [Rh(III)] species is easy and fast through a pyridine-assisted reversible C–H activation process, generating a five-membered rhodacycle **C**. The routine alkyne insertion followed by reductive elimination gives the benz[a] acridizinium product **4aa** and releases the [Rh(II)] species. Finally, the [Rh(III)] catalyst is regenerated by the oxidation of oxygen for the next catalytic cycle.

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In summary, we develop a simple catalytic system containing [Cp*Rh(OAc)₂]₂ and Zn(OTf)₂ with oxygen as the sole oxidant to produce benz[a]acridizinium salts via a cascade double C–H annulation of aldoximes with alkynes. It provides a one-pot protocol to access various multisubstituted dehydroberberinium skeletons from simple starting materials, which can be easily transformed into berberinium and tetrahydroberberine skeletons by the controlled hydrogenation. In addition, the challenging C–H annulation of aldoximes with alkynes, especially arylalkynes, to access 1H-isoquinolines is also achieved under slightly modified conditions. The current method demonstrates the high efficiency and potency of C–H annulation for the synthesis of multifused heterocyclic natural products.

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.orglett.6b03772.

Detailed experimental procedures, characterization data, and ¹H and ¹³C NMR spectra of key intermediates and final products (PDF)

X-ray data for compound 4aa-6H (CIF)

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Notes

The authors declare no competing financial interest.

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- (20) The deuterium-exchange experiments indicated that the cleavage of the *ortho*-C-H bonds of **1a** and **3aa** were both reversible processes; see the Supporting Information.